TRANSMITTAL OF INFO. ATION DISCLOSURE STATEM

Docket No.

11.0	KPO-SUN-P1/SN-71/US					
In Re Applicatio	n: NAKAHARA, I	Koichi, et al.				
Application No.	Filing Date	Examiner	•	Customer No.	Group Art Unit	Confirmation No
10/510,972	N/A	to be assign	ed	44702	1621	6169
Title: Process for with alcoho	production of hydro ols	gen and carbonyl con	ipounds by	reacting sub- o	r super-critical w	rater
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEME Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) KPO-SUN-P1/SN-71/US In Re Application Of: NAKAHARA, Koichi, et al. Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/510,972 N/A to be assigned 44702 1621 6169 Title: Process for production of hydrogen and carbonyl compounds by reacting sub- or super-critical water with alcohols Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. \(\) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR

the fee set forth in 37 CFR 1.17(p).

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Substitut	te for form 1449A/	PTO		Co	omplete if Known	
				Application Number	10/510,972	
INFORMATION DISCLOSURE		Filing Date	N/A			
STA	STATEMENT BY APPLICANT		First Named Inventor	K. NAKAHARA		
		Art Unit	1621			
	(use as many :	sheets as ned	essary)	Examiner Name	to be assigned	
Sheet	1	of	1	Attorney Docket Number	KPO-SUN-P1/SN-71/US	

U.S. PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cito	Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
	No.1	Number	Kind Code	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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	FOREIGN PATENT DOCUMENTS								
	0:4-	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	T	
	No.1			Number ⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
	a	JР	09-188501		07-22-1997	Nakahara et al.	whole document	1	
	b	EP	0 997 186	Al	05-03-2000	The State of Japan	whole document		
	С	JР	2000-136161		05-16-2000	Agency of Ind Science & Tech	whole document	V	
	d	JР	2002-088009		03-27-2002	Toyohashi University of Tech	whole document	V	
	е	JР	2002-105466		04-10-2002	Osaka Gas Co. Ltd.	whole document	V	
	f	JР	2003-063801		03-05-2003	Oka	whole document	 	
		 							
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Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.